PTO/SB/21 (08-03)

November 20, 2003

Date

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are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/616.557 Filing Date TRANSMITTAL July 9, 2003 **FORM** First Named Inventor John P. Castagna et al. Art Unit (to be used for all correspondence after initial filing) N/A; Confirmation No. 2801 Examiner Name N/A Attorney Docket Number GTI-1539 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Postcard; five (5) references Request for Refund **Express Abandonment Request** enclosed CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) The commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 13-0010 (GTI-1539) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Kaushik P. Sriram, Reg. No. 43,150 Individual name Signature nan Date November 20, 2003 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

Signature

Beth Pearson-Naul



APPLICANT: John P. Castagna et al.

SERIAL NO.: 10/616,557

FILED: July 9, 2003

TITLE: "Determination of Fluid Properties

of Earth Formations Using Stochastic Inversion"

MAIL STOP: DD

Commissioner for Patents

P. O. Box 1450

Alexandria, Virginia 22313-1450

Group Art Unit: N/A

**Examiner: Not Assigned** 

Confirmation No.: 2801

Atty Docket No.: GTI-1539

## INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56(a) exists. The information disclosure statement submitted herewith is being filed before the mailing date of the first office action for this application.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 13-0010 (GTI-1539).

Respectfully submitted,

Dated: November 20, 2003

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)** 

I hereby certify that this paper, along with any referred to as being attached or enclosed, is being forwarded to MAIL STOP: DD, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450, via the United States Postal Service, First Class Mail, prepaid on the 20th day of November, 2003.

Beth Pearson-Naul

FORM - 1449

ATTY. DOCKET NO. SERIAL NO. GTI-1539 10/616,557 INFORMATION DISCLOSURE STATEMENT **APPLICANT** 

John P. Castagna et al.

(Use several sheets if necessary)

FILING DATE GROUP / CONFIRMATION July 9, 2003 N/A / 2801

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,817,060	03/28/89	Smith	367	52	05/26/87
	AB	4,858,200	08/15/89	Goins	367	75	09/21/87
_	AC	4,858,201	08/15/89	Goins et al.	367	75	09/21/87
	AD	4,995,007	02/19/91	Corcoran et al.	367	52	12/21/89
	AE	5,001,677	03/19/91	Masters	367	68	10/16/89
	AF	5,440,525	08/08/95	Dey-Sarkar et al.	367	52	10/25/93
	AG	5,583,825	12/10/96	Carrazzone et al.	367	31	09/02/94
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#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATI YES NO	
_	АН							
	ΑI							
	AJ							
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### OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	Gary Mavko et al.; A rock physics strategy for quantifying uncertainty in common hydrocarbon indicators, G Vol. 63, No. 6, (November-December 1998), pp. 1997-2008, 13 Figs.						
	AL	Amos Nur et al.; Critical porosity: A key to 1998, pp. 357-362, 14 Figs.	relating physical properties to porosity in rocks, The Leading Edge, March				
	AM	Luther White et al.; Stochastic fluid module 1835-1843, 9 Figs., 3 Tables.	us inversion, Geophysics, Vol. 67, No. 6 (November-December 2002), pp.				
EVALVOUED			DATE CONGIDENCE				

**EXAMINER** DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant

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GTI-1539	10/616,557

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APPLICANT

John P. Castagna et al.

(Use several sheets if necessary)

FILING DATE

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July 9, 2003

N/A / 2801

### U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	.: NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ЗА	6,302,221	10/16/01	Hamman et al.	175	50	05/31/00
зв		-				
3C						
3D						
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BF					,	
3G						
3 3 3	B C D E	A 6,302,221  B C D E	A 6,302,221 10/16/01 B C D E	A 6,302,221 10/16/01 Hamman et al.  B C D E F	A 6,302,221 10/16/01 Hamman et al. 175  B C	A 6,302,221 10/16/01 Hamman et al. 175 50  B C

	DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
ВН					·	
ВІ						
ВЈ	-					

### OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

ВК	W. W. Symes et al.; <i>Velocity inversion by differential semblance optimization</i> , Geophysics, Vol. 56, No. 5 (May 1991), pp. 654-663, 5 Figs.
BL	W. J. Ostrander; <i>Plane-wave reflection coefficients for gas sands at nonnormal angles of incidence</i> , Geophysics, Vol. 49, No. 10 (October 1984), pp. 1673-1648, 20 Figs., 1 Table.
ВМ	

EXAMINER

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